SERVICE STANDARD 17F: ALLIED HEALTH PROFESSIONAL SERVICES - OPTOMETRY SERVICES

PREAMBLE

Optometry Services involve the employment of methods for the measurement of the powers of vision, or the adaptation of ophthalmic lenses or prisms for the aid of the powers of vision, or both (Optical Act 1991).

TOPIC 17F.1 ORGANISATION AND MANAGEMENT

STANDARD 17F.1.1

The Optometry Services shall be organised and administered to provide services to patients requiring eye healthcare and other related services in accordance with accepted standards of practice of the profession. The services shall also promote wellness and increase awareness of eye healthcare. In some instances, these services may be provided from sources external to the Facility, organisation or institute.

				SELF		SURVEYOR FINDIN	GS	
CRITERION NO.		CRITERIA FOR COMPLIANCE		RATING	FACILITY COMMENTS	AREAS FOR IMPROVEMENT / RECOMMENDATIONS	SURVEYOR RATING	RISK
17F.1.1.1	7F.1.1.1 Vision, Mission and values statements of the Facility are accessible. Goals and objectives that suit the scope of the Optometry Services are clearly documented and measurable that indicates safety, quality and patient centred care. These reflect the roles and aspirations of the service and the needs of the community. These statements are monitored, reviewed and revised as required accordingly and communicated to all staff.		d eflect	NA			NA	
	1.	Vision, Mission and values statements of the Facility are available, endorsed and dated by the Governing Body.	NA					
	2.	Goals and objectives of the Optometry Services in line with the Facility statements are available, endorsed and dated.	NA					
	3.	Evidence of planned reviews of the above statements.	NA					
	4.	These statements are communicated to all staff (orientation programme, minutes of meeting, etc)	NA					
	5.	Achievement of goals and objectives are monitored, reviewed and revised accordingly.	NA					
17F.1.1.2 CORE	a) pr relati	e is an organisation chart which: ovides a clear representation of the structure, functions, and reporting onships between the Person In Charge (PIC), Head of Optometry Services ultants, medical practitioners, and staff of Optometry Services;	,	NA			NA	

	c) inc d) is r i) org ii) fu iii) re	accessible to all staff and clients; ludes off-site services if applicable; evised when there is a major change in any of the following: ganisation; nctions; eporting relationships; taffing patterns.				
	EVIDENCE OF COMPLIANCE					
	1.	Clearly delineated current organisation chart with line of functions and reporting relationships between the Person In Charge (PIC), Head of Optometry Services, consultants, medical practitioners and staff of Optometry Services.	NA			
	2.	Organisation chart of the service is endorsed, dated and accessible.	NA			
	3.	The organisation chart is revised when there is a major change in any of the items (d)(i) to (iv).	NA			
	 17F.1.1.3 The Governing Body shall ensure that Optometry Services are organised in such a way as to: a) facilitate the provision of optometry services to patients in the Facility in a safe, efficient, effective and caring manner and with due regard for the needs, dignity, and privacy of patients and confidentiality of their personal information; b) assure the continuity of care; c) address the professional needs of optometry staff; d) ensure that relevant staff are involved in the formulation of policies and procedures concerning eye healthcare appropriate to the scope of services of the Facility. 			NA	NA	
		EVIDENCE OF COMPLIANCE				
	1.	The optometry services is organised to cover activities but not limited items (a) to (d) through:	0			
	a)	work assignment schedule to ensure service provision;	NA			
	b)	competent staffing level to provide the necessary	NA			
	C)	record on continuity of care in patient's medical treatment record;	NA			
	d)	Professional Development Plan.	NA			
17F.1.1.4	suffic	lar staff meetings are held between the Head of Service and staff with ent regularity to discuss issues and matters pertaining to the operations netry Services. Minutes are kept; decisions and resolutions made during		NA	NA	

		tings shall be accessible, communicated to all staff of the service, and emented.					
		EVIDENCE OF COMPLIANCE					
	1.	Minutes are accessible, disseminated and acknowledged by the staff.	NA				
	2.	Attendance list of members with adequate representatives of the service.	NA				
	3.	Frequency of meetings as scheduled.	NA				
	4.	Discussion and resolutions are implemented (Problems not solved to be brought forward in the next meeting until resolved).	NA				
17F.1.1.5	The Head of Optometry Services is involved in the planning, justification, and management of the budget and resource utilization of the services.					NA	
		EVIDENCE OF COMPLIANCE					
	1.	Minutes of Facility-wide Management/ Optometry Unit and/OR Ophthalmology Department meeting.	NA				
	2.	Documented evidence on request for allocation of budget and resources (staffing, equipment, etc)	NA				
	3.	Approved budget and resources.	NA				
17F.1.1.6		Head of Optometry Services is involved in the appointment and/OR assign e staff.	gnment	NA		NA	
		EVIDENCE OF COMPLIANCE					
	1.	Records on staff interview (if applicable)	NA				
	2.	Appointment/assignment letter of Head of Service	NA				
	3.	Job description of Head of Service	NA				
	4.	Records on staff deployment	NA				
	5.	Duty Roster	NA				
17F.1.1.7		ropriate statistics and records shall be maintained in relation to the provis ometry Services and used for managing the services and patient care pur		NA		NA	
		EVIDENCE OF COMPLIANCE					1
	1.	Records are available but not limited to the following:	1				
	a)	workload/census;	NA				

b)	annual optometry report;	NA	
C)	accident/incident reports;	NA	
d)	staffing number and staff profile;	NA	
e)	staff training records;	NA	
	data on performance improvement activities, including performance indicators.	NA	

TOPIC 17F.2 HUMAN RESOURCE DEVELOPMENT AND MANAGEMENT

STANDARD 17F.2.1

The Optometry Services shall be directed and adequately staffed by qualified and experienced staff to achieve the goals and objectives of the Optometry Services and ensure continuing education and professional development.

CRITERION			SELF		SURVEYOR FINDIN	IGS	
NO.	CRITERIA FOR COMPLIANCE		ATING	FACILITY COMMENTS	AREAS FOR IMPROVEMENT / RECOMMENDATIONS	SURVEYOR RATING	RISK
17F.2.1.1 CORE	The Head and staff of the Optometry Services shall be individuals qualified by education, training, experience, and certification to commensurate with the requirements of the various positions.	у	NA			NA	
	EVIDENCE OF COMPLIANCE						
	1. Records on credentials of Head of Service and staff required to fill up the posts within the service (to match the complexity of the Facility and services) and registration.	NA					
	2. All optometrists shall have valid Annual Practising Certificate endorsed by Malaysian Optical Council.	NA					
	3. Appointment/assignment letters	NA					
	4. Certification	NA					
	5. Training and competency records	NA					
17F.2.1.2	The authority, responsibilities and accountabilities of the Head of Optometry Services are clearly delineated and documented.		NA			NA	
	EVIDENCE OF COMPLIANCE						
	1. Appointment/assignment letter for Head of Service.	NA					
	2. Description of duties and responsibilities	NA					
17F.2.1.3 CORE	Sufficient numbers of optometrists with appropriate qualifications are employe meet the needs of the services.	ed to	NA			NA	
	EVIDENCE OF COMPLIANCE						
	1. Number of staff and qualification should commensurate with workload.	NA					

			1			
	2.	Staffing pattern	NA			
	3.	Duty roster	NA			
	4.	Census and statistics	NA			
17F.2.1.4	inclu a) qu b) lin c) ac d) re follov i) na ii) d iii) (iv) (v) s vi) (alifications, training, experience, and certification required for the positiones of authority; countability, functions, and responsibilities; viewed when required and when there is a major change in any of the		NA		
		EVIDENCE OF COMPLIANCE				
	1.	Updated specific job description is available for each staff that includes but not limited to as listed in (a) to (e).	n staff that NA			
	2.	Job description includes specialisation skills	NA			
	3.	Relevant privileges granted	NA			
	4.	The job description is acknowledged by the staff and signed by the Head of Service and dated.	NA			
17F.2.1.5	for e Note	Personnel records on training, staff development, leave, and others are maintained for every staff. Note: Staff personal record may be kept in Human Resource Department as per Facility policy.				
		EVIDENCE OF COMPLIANCE				
	1.	Staff personal records include:				
	a)	staff biodata;	NA			
	b)	qualification and experience;	NA			
	C)	evidence of current registration;	NA			
	d)	training record;	NA			

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	e)	competency record and privileging;	NA		
	f)	leave record;	NA		
	g)	confidentiality agreement.	NA		
17F.2.1.6	serv	e is a structured orientation programme where new staff are briefed on ices, operational policies, and relevant aspects of the Facility to prepare neir roles and responsibilities.		NA	NA
		EVIDENCE OF COMPLIANCE			
	1.	Policy requiring all new staff to attend a structured orientation programme.	NA		
	2.	Records on structured orientation programme	NA		
	3.	Orientation Brief	NA		
	4.	List of attendance	NA		
	1	EVIDENCE OF COMPLIANCE	ΝΔ		
	1. 2	Training needs assessment is carried out and gaps identified. A staff development plan based on training needs assessment is	NA NA		
		available.			
	3.	Training schedule/calendar is in place.	NA		
	4.	Training module (if applicable)	NA		
17F.2.1.8		e are continuing education activities for staff to pursue professional interto to prepare for current and future changes in practice.	erests	NA	NA
		EVIDENCE OF COMPLIANCE			
	1.	Continuing education activities and schedule	NA		
	2.	Contents of training programme	NA		
	3.	Training records on continuing education activities are kept and maintained for each staff.	NA		
	4.	Certificate of attendance/degree/post basic training.	NA		
17F.2.1.9	Staff	receive evaluation of their performance at the completion of the probat	ionarv	NA	NA

		EVIDENCE OF COMPLIANCE		
	1.	Performance appraisal for staff is completed upon probationary period and as an annual exercise.	NA	
17F.2.1.10	E.2.1.10 In a Facility where education programs are conducted, the Facility shall ensure that there are sufficient skilled trained staff to provide clinical supervision of students.		NA	
		EVIDENCE OF COMPLIANCE		
	1.	Letter of appointment – Local Preceptor/Clinical Instructor.	NA	
	2.	Memorandum of Understanding with training institution	NA	
	3.	Adequate number of Local Preceptor/Clinical Instructor to student	NA	
	4.	Qualification and training records of local preceptor (if applicable)	NA	

TOPIC 17F.3 POLICIES AND PROCEDURES

STANDARD 17F.3.1

There are written and dated policies and procedures for all activities of the Optometry Services. These policies and procedures reflect current standards of optometry services and practice, relevant regulations, statutory requirements, and goals and objectives of the services.

CRITERION				SELF		SURVEYOR FINDIN	IGS	
NO.		CRITERIA FOR COMPLIANCE		RATING	FACILITY COMMENTS	AREAS FOR IMPROVEMENT / RECOMMENDATIONS	SURVEYOR RATING	RISK
17F.3.1.1 CORE	consi curre and c	e are written policies and procedures for the Optometry Services which an istent with the overall policies of the Facility, regulatory requirements, and ent standard practices. These policies and procedures are signed, authori dated. There is a mechanism for and evidence of a periodic review at lease in every three years.	d ized,	NA			NA	
		EVIDENCE OF COMPLIANCE						
	1.	Documented policies and procedures for the service.	NA					
	2.	Policies and procedures are consistent with regulatory requirements and current standard practices.	NA					
	3.	Evidence of periodic review of policies and procedures.	NA					
	4.	The policies and procedures are endorsed and dated.	NA					
17F.3.1.2	medi provi collal	ies and procedures are developed by a committee in collaboration with si cal practitioners, Management, and where required with other external se ders and with reference to relevant sources involved. Cross-departmenta boration is practiced in developing relevant policies and procedures wher cable.	ervice I	NA			NA	
		EVIDENCE OF COMPLIANCE						
	1.	Minutes of committee meetings on development and revision on policies and procedures.	NA					
	2.	Minutes of meeting with evidence of cross reference with other departments (if applicable)	NA					
	3.	Documented cross departmental policies (if applicable)	NA					
17F.3.1.3	threa	e shall be a policy to address emergency resuscitation in the event of any tening situations and the Emergency Resuscitation Team can be alerted ediately, e.g. Code Blue.	y life	NA			NA	

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		EVIDENCE OF COMPLIANCE				
	1.	Policy for Code Blue within the service area	NA			
	2.	Flow chart and contact number of Code Blue made available and accessible.	NA			
7F.3.1.4	Curr	ent policies and procedures are communicated to all staff.		NA	NA	
		EVIDENCE OF COMPLIANCE				
	1.	Training and briefing on the current policies and procedures/Minutes of meetings	NA			
	2.	Circulation list and acknowledgement	NA			
CORE	a) us keep b) ap c) pr	tice which include but not limited to the following: sage, dosage, and storage of pharmaceuticals and contact lens solutions bing with the recommendation of the manufacturer; opropriate practice of hygiene and infection control; rovide appropriate instruction to patients on the prescription for spectacle n, contact lenses, low vision devices, and visual therapy.				
		EVIDENCE OF COMPLIANCE				
	1. Standard Operating Procedures (SOP) shall cover items (a) to (c). NA					
	2.	Compliance with policies and procedures through:				
	a)	interview of staff on practices;	NA			
	b)	verify with observation on practices;	NA			
	c)	results of audit on practices;	NA			
	d)	practices in line with established policies and procedures	NA			
7F.3.1.6	refer	utpatients seeking consultation/treatment to the Optometry Services sha red by a medical practitioner/certified optometrist/teachers from school h n screening/AVIS program.		NA	NA	
		EVIDENCE OF COMPLIANCE				
	1.	Documented facility policy on referral to allied health services	NA			
	2.	Referral letter/referral form written by medical practitioner/certified optometrist/teachers from school health screening/AVIS program	NA			

	3.	All patients/clients are registered in the manual register book or electronic system	NA			
	4.	Patient's medical record	NA			
17F.3.1.7		ies of policies and procedures, protocols, guidelines, relevant Acts, ulations, By-Laws and statutory requirements are accessible to staff. EVIDENCE OF COMPLIANCE		NA	NA	
	1.	Copies of policies and procedures, protocols, guidelines, relevant Acts, Regulations, By-Laws and statutory requirements i.e. Optical Act 1991, Optometry Standard Operating Procedures (SOP) are accessible on-site for staff reference.	NA			

TOPIC 17F.4 FACILITIES AND EQUIPMENT

STANDARD 17F.4.1

Safe and adequate facilities and equipment are available for the delivery of effective optometry services and ensuring patient safety.

			SELF		SURVEYOR FINDIN	IGS	
CRITERION NO.	CRITERIA FOR COMPLIANCE		RATING	FACILITY COMMENTS	AREAS FOR IMPROVEMENT / RECOMMENDATIONS	SURVEYOR RATING	RISK
17F.4.1.1	There is appropriate access to the facility, adequate facilities, and equipment proper utilization of space to enable staff to carry out their professional, teachi and administrative functions.		NA			NA	
	EVIDENCE OF COMPLIANCE						
	1. Adequate and proper utilisation of space.	NA					
	2. Appropriate type of equipment to match the complexity of services.	NA					
	3. Adequate facilities and equipment at patient care area for safe care (e.g. access to emergency cart, hand washing facilities, etc).	NA					
	4. Easy access and clear exit routes	NA					
	5. Absence of overcrowding	NA					
17F.4.1.2	There is documented evidence that equipment complies with relevant national/international standards and current statutory requirements.		NA			NA	
	EVIDENCE OF COMPLIANCE						
	1. Adequate equipment and supplies for optometry services.	NA					
	2. Testing, commissioning and calibration records (certificates or stickers)	NA					
	 Certification of equipment from certified bodies, e.g. Standards and Industrial Research Institute of Malaysia (SIRIM), etc as evidence of compliance to the relevant 	NA					
	There is evidence that the facility has a comprehensive maintenance program such as predictive maintenance, planned preventive maintenance, and calibra activities, to ensure the facilities and equipment are in good working order.		NA			NA	
	EVIDENCE OF COMPLIANCE						

	1. Planned Preventive Maintenance records such as schedule, stickers etc.	, NA			
	2. Planned Replacement Programme where applicable	NA			
	3. Complaint records	NA			
	4. Asset inventory	NA			
17F.4.1.4	Where specialised equipment is used, there is evidence that only staff who a trained and authorized by the Facility operate such equipment.	are	NA		NA
	EVIDENCE OF COMPLIANCE				
	1. User training records	NA			
	2. Competency assessment record	NA			
	3. List of staff trained and competent to operate specialised equipment	NA			
17F.4.1.5	Examination room shall be set up according to standards to enable precise measurement.				NA
	EVIDENCE OF COMPLIANCE				
	1. List of Standard and Alternative Facilities in the Optometry Standard Operating Procedures is to be used as reference in setting up of Optometry examination room.	NA			
17F.4.1.6	Alarm system for emergencies appropriate to client needs shall be made av	ailable.	NA		NA
	EVIDENCE OF COMPLIANCE				
	1. Emergency alert alarm system i.e. mechanical and Code Blue is in place	NA			

TOPIC 17F.5 SAFETY AND PERFORMANCE IMPROVEMENT ACTIVITIES

STANDARD 17F.5.1

The Head of Optometry Services shall ensure the provision of quality performance with staff involvement in the continuous safety and performance improvement activities of the Optometry Services.

				SELF RATING	FACILITY COMMENTS	SURVEYOR FINDINGS			
CRITERION NO.		CRITERIA FOR COMPLIANCE				AREAS FOR IMPROVEMENT / RECOMMENDATIONS	SURVEYOR RATING	RISK	
	There are planned and systematic safety and performance improvement activities to monitor and evaluate the performance of the Optometry Services. The process includes: a) Planned activities b) Data collection c) Monitoring and evaluation of the performance d) Action plan for improvement e) Implementation of action plan f) Re-evaluation for improvement Innovation is advocated.			NA			NA		
	EVIDENCE OF COMPLIANCE								
	1.	Planned performance improvement activities include (a) to (f).	NA						
	2.	Records on performance improvement activities.	NA						
	3.	Minutes of performance improvement meetings.	NA						
	4.	Performance improvement studies.	NA						
	5.	Records on innovation if available.	NA						
17F.5.1.2	The Head of Optometry Services has assigned the responsibilities for planning, monitoring, and managing safety and performance improvement to appropriate individual/personnel within the respective services.			NA			NA		
	EVIDENCE OF COMPLIANCE								
	1.	Minutes of meetings	NA						
	2.	Letter of assignment of responsibilities	NA						
	3.	Job description	NA						

	The Head of the Optometry Services shall ensure that the staff are trained a complete incident reports which are promptly reported, investigated, discuss the staff with learning objectives and forwarded to the Person In Charge (PIC Facility. Incidents reported have had Root Cause Analysis done and action ta within the agreed time frame to prevent recurrence.	sed by C) of the	NA		
	EVIDENCE OF COMPLIANCE				
	1. System for incident reporting is in place, which include:				
	a) Training of staff	NA			
	b) Policy on incident reporting	NA			
	c) Methodology of incident reporting	NA			
	d) Register/records of incidents	NA			
	2. Completed incident reports	NA			
	3. Root Cause Analysis	NA			
	4. Corrective and preventive action plans	NA			
	5. Remedial measure	NA			
	6. Minutes of meetings	NA			
	7. Acknowledgment by Head of Service and PIC/Hospital Director	NA			
	8. Feedback given to staff regarding incident reporting.	NA			
CORE					
	EVIDENCE OF COMPLIANCE				
	1. Specific performance indicators monitored.	NA			
	2. Records on tracking and trending analysis.	NA			

	3.	Remedial measures taken where appropriate.	NA			
17F.5.1.5		back on results of safety and performance improvement activities are regument activities are r	ılarly	NA	NA	
		EVIDENCE OF COMPLIANCE				
	1.	Results on safety and performance improvement activities are accessible to staff.	NA			
	2.	Evidence of feedback via communication on results of performance improvement activities through continuing education activities/meetings.	NA			
	3.	Minutes of service/unit meetings	NA			
17F.5.1.6	Appropriate documentation of safety and performance improvement activities is kept and confidentiality of medical practitioners, staff and patients is preserved.			NA	NA	
		EVIDENCE OF COMPLIANCE				
	1.	Documentation on performance improvement activities and performance indicators.	NA			
	2.	Policy statement on anonymity on patients and providers involved in performance improvement activities.	NA			

SERVICE SUMMARY					
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OVERALL RATING :	NA				
OVERALL RISK :	-				